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1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
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## FAX TRANSMISSION COVER SHEET

Date: January 3, 2006  
To: Amendment  
United States Patent & Trademark Office  
Fax: (571) 273-8300  
Phone:  
From: Kevin W. Stinger  
Re: Serial No. 10/810,041  
Filing Date: March 26, 2004  
Docket No: 15436.447.1

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YOU SHOULD RECEIVE 24 PAGE(S), INCLUDING THIS COVER SHEET.  
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**Attached:**

Transmittal of Information Disclosure Statement (2 pgs);  
Information Disclosure Statement (2 pgs, with attached Exhibit A)  
PTO-1449 Information Disclosure Citations Made by Applicant (3 pgs)  
Certificate of Transmission by Facsimile (1 pg)

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THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS ATTORNEY PRIVILEGED AND CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			<b>Docket No.</b> 15436.447.1
Applicant(s): Donald A. Ice			
Application No. 10/810,041	Filing Date 03/26/2004	Examiner Unknown	Group Art Unit 2833
Invention: METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS FOR OPTICAL TRANSCEIVER MODULES			
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I hereby certify that this <u>Information Disclosure Statement</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(571) 273-8300</u> ) on <u>January 3, 2006</u> (Date)			
<u>Beth L. Haslam</u> (Typed or Printed Name of Person Signing Certificate) <u>Beth L. Haslam</u> (Signature)			
Note: Each paper must have its own certificate of mailing.			
Attached: Transmittal of Information Disclosure Statement (2 pgs); Information Disclosure Statement (2 pgs, with attached Exhibit A) PTO-1449 Information Disclosure Citations Made by Applicant (3 pgs)			

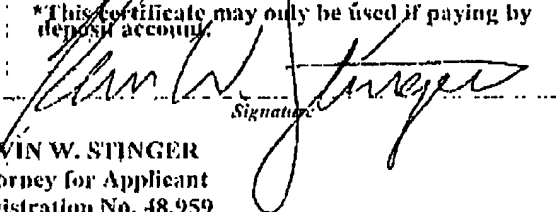
JAN 03 2006

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 15436.447.1	
In Re Application Of: <b>Donald A. Ice</b>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/810,041	03/26/2004	Unknown	022913	2833	8455
Title: <b>METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS FOR OPTICAL TRANSCEIVER MODULES</b>					
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
<b>37 CFR 1.97(b)</b>					
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
<b>37 CFR 1.97(c)</b>					
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:  <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);  <b>OR</b>  <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).					

P10A/R1W6

JAN 03 2006

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 15436.447.1	
In Re Application of: <b>Donald A. Ice</b>						
<b>BEST AVAILABLE COPY</b>						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
10/810,041	03/26/2004	Unknown	022913	2833	8455	
Title: <b>METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS FOR OPTICAL TRANSCEIVER MODULES</b>						
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))						
<input type="checkbox"/> A check in the amount of _____ is attached.						
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>23-3178</u> as described below.						
<input type="checkbox"/> Charge the amount of _____						
<input type="checkbox"/> Credit any overpayment.						
<input checked="" type="checkbox"/> Charge any additional fee required.						
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.						
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(Date)						
Signature						
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<b>Certificate of Mailing by First Class Mail</b>						
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on						
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Signature of Person Mailing Correspondence						
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Dated: <u>Jan. 3, 2006</u>						
<div style="border: 1px solid black; padding: 5px;">Signature: </div>						
KEVIN W. STINGER Attorney for Applicant Registration No. 48,959						
cc:						

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Sheet 1 of 3

Form PTO-1449

Applicant: Donald A. Ice  
Serial No.: 10/810,041  
Filing Date: March 26, 2004  
For:

JAN 03 2006

Att'y Docket No.: 15436.447.1  
Group: 2833

METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS  
FOR OPTICAL TRANSCEIVER MODULES

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Related U.S. Patent Applications

Inventor	Application Number	Filing Date
Donald A. Ice	10/810,040	03/26/2004
Donald A. Ice	10/809,992	03/26/2004

U.S. Patent Documents

Examiner Initial*	Document Number	Issue/Pub Date	Name
_____ 1	2003/0026081	02/06/2003	Liu, et al.
_____ 2	4,689,023	08/25/1987	Strong, III, et al.
_____ 3	4,471,158	09/11/1984	Roberts
_____ 4	5,295,214	03/15/1994	Card, et al.
_____ 5	5,632,630	05/27/1997	Card, et al.
_____ 6	6,086,413	07/11/2000	Karasik, et al.
_____ 7	6,488,534	12/03/2002	Soga, et al.
_____ 8	6,527,571	03/04/2003	Muta, et al.
_____ 9	6,652,294	11/25/2003	Zhang
_____ 10	6,668,897	02/10/2004	Korsunsky, et al.
_____ 11	6,764,336	07/20/2004	Ma, et al.
_____ 12	6,764,338	07/20/2004	Fang

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO-1449

Sheet 2 of 3

Applicant: Donald A. Ice  
Serial No.: 10/810,041  
Filing Date: March 26, 2004  
For: METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS  
FOR OPTICAL TRANSCEIVER MODULES

Att'y Docket No.: 15436.447.1  
Group: 2833

13	6,796,852	09/28/2004	Okamoto
14	6,817,782	11/16/2004	Togami, et al.
15	6,922,231	07/26/2005	Wang, et al.

Foreign Patent Documents

Examiner Initial*	Document Number	Publication Date	Country or Patent Office
NONE			

Other Documents

(including author, title, pertinent pages, etc.)

Examiner  
Initial\*  
  
NONE

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO-1449

Sheet 3 of 3

Applicant: Donald A. Ice  
Serial No.: 10/810,041 Att'y Docket No.: 15436.447.1  
Filing Date: March 26, 2004 Group: 2833  
For: METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS  
FOR OPTICAL TRANSCEIVER MODULES

**References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initiated by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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VIA FACSIMILE

**BEST AVAILABLE COPY**PATENT APPLICATION  
Docket No: 15436.447.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	)	
	)	
Donald A. Ice	)	
	)	
Serial No.:	10/810,041	) Art Unit
		) 2833
Confirmation No.:	8455	)
		)
Filed:	March 26, 2004	)
		)
For:	METHODS FOR MANUFACTURING LEAD	)
	FRAME CONNECTORS FOR OPTICAL	)
	TRANSCIVER MODULES	)
		)
Examiner:	Unknown	)

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.



Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

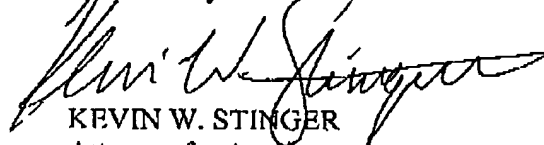
The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

Also attached, as Exhibit "A" for the Examiner's convenience, are copies of the most recent Office Actions in both of the related pending applications listed in the PTO-1449.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED this 3<sup>rd</sup> day of January, 2006.

Respectfully submitted,



KEVIN W. STINGER  
Attorney for Applicant  
Registration No. 48,959  
Customer No. 022913

KWS: bllj  
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